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202/1644
#1

DOCKET NO.: 3781-001-27

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/062,710
Applicant(s): Frank Q. LI, et al.
Filing Date: February 5, 2002
For: POLYMERIC CONJUGATES FOR DELIVERY OF MHC-
RECOGNIZED EPITOPES VIA PEPTIDE VACCINES
Group Art Unit: 1644
Examiner: Marianne DiBrino

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal
Information Disclosure Statement
International Preliminary Examination Report
Form PTO-1449
Cited Document (1)

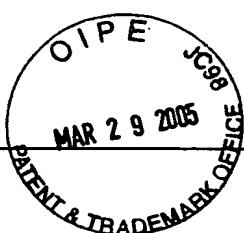
Our check in the amount of \$180.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber
Attorney of Record
Registration No.: 30,073

Christopher W. Raimund
Registration No.: 47,258



FEE TRANSMITTAL FY 2005

FEE TRANSMITTAL FY 2005		Docket No.	3781-001-27	
		Serial No.	10/062,710	
		Filing Date	February 5, 2002	
		Inventor(s)	Frank Q. Li, et al.	
		Group Art Unit	1644	
TOTAL AMOUNT OF PAYMENT		\$180.00	Examiner	Marianne DiBrino

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						FEE CALCULATION (continued)									
						3. ADDITIONAL FEES									
						Large Entity		Small Entity		Fee Description					
2. <input checked="" type="checkbox"/> Check enclosed.						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid				
FEE CALCULATION						1051	130	2051	65	Surcharge-late filing fee or oath					
1. BASIC FILING FEE						1053	130	1053	130	Non-English Specification					
Large Entity		Small Entity		Fee Description		1251	120	2251	60	1-mo. ext. of time					
Code	Fee \$	Code	Fee \$			1252	450	2252	225	2-mo. ext. of time					
1011	300	2011	150	Utility Filing Fee		1253	1020	2253	510	3-mo. ext. of time					
1012	200	2012	100	Design Filing Fee		1254	1590	2254	795	4-mo. ext. of time					
1013	200	2013	100	Plant Filing Fee		1255	2160	2255	1080	5-mo. ext. of time					
1014	300	2014	150	Reissue Filing Fee		1401	500	2401	250	Notice of Appeal					
1005	200	2005	100	Provisional Filing Fee		1402	500	2402	250	Appeal Brief					
1111	500	2111	250	Utility Search Fees		1403	1000	2403	500	Request for Oral					
1311	200	2311	100	Utility Examination Fees		1501	1400	2501	700	Utility/Reissue Issue					
SUBTOTAL						1504	300	1504	300	Publication Fee					
2. EXTRA CLAIM FEES						8001	3	8001	3	Advance Copy of Patent					
tot. claims		-	20*	=	0	x	\$25	=	0	1806	180	1806	180	IDS Submission	180.00
ind. claims		-	3*	=	0	x	\$100	=	0	8021	40	8021	40	Assignment Recordation	
<input type="checkbox"/> Multiple Dependent Claims						\$180	=			1801	790	2801	395	For Filing RCE	
SUBTOTAL						1814	130	2814	65	Terminal Disclaimer					
3. APPLICATION SIZE FEES						Other:									
Total # of Sheets				# of Extra Sheets											
		-	100	=	0										
# of each additional 50 sheets or fraction thereof (round up)				Fee		Total Fee Due		SUBTOTAL				180			
		x	\$125	=	0										

Name	Steven B. Keller	Registration No.		30,073	
Signature		Date	MARCH 29, 2005	Telephone	202-861-3900
Name	Christopher W. Raimund	Registration No.		47,258	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

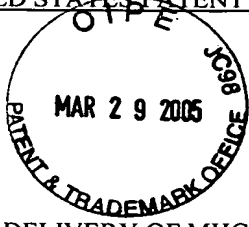
IN RE APPLICATION: Frank Q. LI, et al.

GROUP ART UNIT: 1644

SERIAL NUMBER: 10/062,710

EXAMINER: Marianne DiBrino

FILED: February 5, 2002



FOR: POLYMERIC CONJUGATES FOR DELIVERY OF MHC-RECOGNIZED EPITOPES VIA PEPTIDE VACCINES
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☒ Applicant(s) wish(es) to make of record the documents listed on the International Preliminary Examination Report and the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- ☐ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☒ with fee and before the mailing date of any Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

03/30/2005 SFELEKE1 00000041 10062710

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180.00 OP

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 3781-001-27		SERIAL NO. 10/062,710	
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				APPLICANT Frank Q. LI, et al.		GROUP ART UNIT 1644	
				FILING DATE February 5, 2002			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,662,907	09/02/97	KUBO, et al.		
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
AK				
AL				
AM				
AN				
AO				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	
AQ	
AR	
AS	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

